

Annexure A

In case you have grievances against a listed company or intermediary registered with SEBI, you should first approach the concerned company or intermediary against whom you have grievance. If you are not satisfied with their response, you may approach SEBI or other regulatory bodies. You can approach SEBI for following types of grievances :

Listed Companies

- ★ Refund/ Allotment / Bonus/Dividend/Rights /Redemption / Interest
- ★ Prelisting offer documents (shares).
- ★ Prelisting offer documents (debentures and bonds).
- ★ Delisting of Securities
- ★ Buyback of Securities
- ★ Takeover and Restructuring
- ★ Corporate Governance and Listing Conditions

Brokers and stock exchanges

- Stock brokers
- Sub brokers
- Portfolio managers
- Stock exchanges

Registrar and Transfer Agents

Mutual Funds

Depository and Depository participants

Information to SEBI:

- Price Manipulation
- Insider trading

Other entities

- Collective Investment Schemes
- Debenture Trustees
- Merchant Bankers Bankers to Issue
- Credit Rating Agencies
- Custodian of Securities
- Foreign Institutional Investors
- Underwriters
- Venture Capital Funds
- KYC Registration Agency(KRA)
- Alternative Investment Fund

You can file your complaints online at <http://scores.gov.in> or alternately send your complaints to Office of Investor Assistance and Education of SEBI at Mumbai or Regional Offices at the following addresses:

- ★ Office of Investor Assistance and Education, SEBI Bhavan, Plot No.C4-A, 'G' Block, Bandra Kurla Complex, Bandra (E), Mumbai 400 021 Tel : 022-26449188 / 26449199 (<http://scores.gov.in>)
- ★ SEBI, Northern Regional Office, 5th Floor, Bank of Baroda Building, 16, Sansad Marg, New Delhi - 110 001 Tel : 011- 23724001-05 (www.sebinro@sebi.gov.in)
- ★ SEBI, Eastern Regional Office, L&T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700 016 Tel : 033-23023000. (www.sebiero@sebi.gov.in)
- ★ SEBI, Southern Regional Office, 7th Floor, Overseas Towers, 756-L, Anna Salai, Chennai – 600 002 Tel : 044-24674000 / 24674150 (www.sebisro@sebi.gov.in)
- ★ SEBI, Ahmedabad Regional, Office Unit No: 002, Ground Floor, SAKARI I, Near Gandhigram Railway Station, Opp. Nehru Bridge Ashram Road, Ahmedabad - 380 009 Tel : 079-26583633-35 (www.sebiaro@sebi.gov.in)

For more information visit our website - <http://scores.gov.in>